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PTO/SB/21 (07-06)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

12

Application Number

10/041,015

Filing Date

December 28, 2001

First Named Inventor

Alan Ballard

Art Unit

2174

Examiner Name

Ryan F. PITARO

Attorney Docket Number

021756-017200US

ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/ Incomplete Application

☐

Reply to Missing Parts under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a Provisional Application

☐

Power of Attorney, Revocation Change of Correspondence Address

☐

Terminal Disclaimer

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Request for Refund

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CD, Number of CD(s) _____

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Landscape Table on CD

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After Allowance Communication to TC

☐

Appeal Communication to Board of Appeals and Interferences

☐

Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify below):

Second Request for Corrected Filing Receipt, Marked-up Copy of Updated Filing Receipt, Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Townsend and Townsend and Crew LLP

Signature

Printed name

Jason D. Lohr

Date

October 5, 2006

Reg. No.

48,163

CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name

Krista K. Merrimac

Date

October 5, 2006



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Alexandria, VA 22313-1450
On October 5, 2006

PATENT
Docket No.: 021756-017200US
Client Reference: OID-2005-245-05

TOWNSEND and TOWNSEND and CREW LLP

By: 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Alan Ballard et al.

Application No.: 10/041,015

Filed: December 28, 2001

For: CUSTOMIZATION OF USER
INTERFACE PRESENTATION IN AN
INTERNET APPLICATION USER
INTERFACE

Examiner: Ryan F. Pitaro

Art Unit: 2174

**SECOND REQUEST FOR CORRECTED
FILING RECEIPT**

Office of Initial Patent Examination
Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached is a redline corrected copy of Updated Filing Receipt for the above-noted application for which issuance of a corrected Filing Receipt is respectfully requested.

There is an error in the Domestic Priority section of the Filing Receipt. The correct Domestic Priority should read as follows **(with corrections noted in bold text):**

**THIS APPLN CLAIMS BENEFIT OF 60/273,190 03/02/2001
AND CLAIMS BENEFIT OF 60/316,773 08/31/2001**

In support of this priority claim, we have attached the Second Preliminary Amendment that was filed with the U.S. Patent Office on July 8, 2002. The second priority claim (60/316,773, filed 08/31/01) was listed in the application as filed without the serial number because the serial number was unavailable at the time the application was filed. The Second Preliminary Amendment amends the specification to include the serial number in paragraph [0001], line 4 as noted in Exhibit A to the Second Preliminary Amendment. In addition, the serial numbers for related applications have also been updated in paragraph [0002], lines 2, 3, 5, 7, and 9 as illustrated in Exhibit A to the Second Preliminary Amendment.

Applicants respectfully request the Attorney Docket No. and Addressee sections to be updated. Please update the Attorney Docket No. as follows with corrections noted in bold text:

021756-017200US

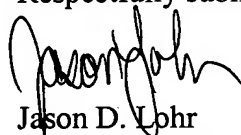
Please correct the Addressee as follows with correction noted in bold text:

51206
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center
Eighth Floor
San Francisco, CA 94111

An Application Data Sheet is included with this Response.

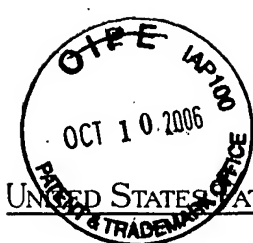
Per current PTO guidelines, there is no charge for correcting the Filing Receipt. Applicants respectfully request that the Filing Receipt be corrected.

Respectfully submitted,



Jason D. Lohr
Reg. No. 48,163

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200/ Fax: (415) 576-0300
JDL/km



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND. CLAIMS
10/041,015	12/28/2001	2152	3300	PA2064US	25	85	18

S1206
~~22830 TOWNSEND AND TOWNSEND and CREW LLP~~
~~CARR & FERRELL LLP~~ **TWO EMBARCADERO CENTER**
~~2225 EAST BAYSHORE ROAD~~ **EIGHTH FLOOR**
~~SUITE 200~~ **SAN FRANCISCO, CA 94111**
~~PALO ALTO, CA 94303~~

021756-017200US

CONFIRMATION NO. 2600

UPDATED FILING RECEIPT



OC00000008151688

Date Mailed: 05/20/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Alan Ballard, Vancouver, CANADA;
Theresa Sherwood, Walnut Creek, CA;
Laura Steward Akel, San Francisco, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/273,190 03/02/2001

AND CLAIMS BENEFIT OF 60/316,773 08/31/2001

Foreign Applications

If Required, Foreign Filing License Granted 02/01/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Customization of user interface presentation in an internet application user interface

Preliminary Class

709

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Patent/Serial No.: 10/041,015 Issue/Filing Date: December 28, 2001
Title: Customization of User Interface Presentation in an Internet Application User Interface
Inventor(s)/Applicant(s): Alan Ballard et al.
C & F Docket No.: PA2064US Atty/Sec. Initials: SMC/elf
Date Mailed: July 8, 2002 Delivery Method: First Class Docket Due Date:

THE FOLLOWING HAS BEEN RECEIVED IN THE U.S. PATENT & TRADEMARK
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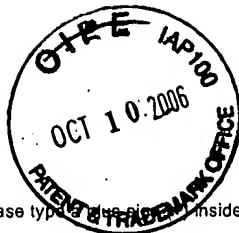
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|--|---|
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| <input type="checkbox"/> Provisional Application _____ pages + cover | <input type="checkbox"/> Express Mail No. _____ |
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| <input type="checkbox"/> Continuation/Divisional Application | <input type="checkbox"/> IDS form (PTO/SB/08) (2 copies) |
| <input type="checkbox"/> Design Application | <input type="checkbox"/> Petition for Extension of Time: _____ months |
| <input type="checkbox"/> Drawings: _____ # of sheets incl. _____ FIGs. | <input type="checkbox"/> Petition for: _____ |
| <input type="checkbox"/> Declaration & POA | <input type="checkbox"/> Issue Fee Transmittal (2 copies) |
| <input type="checkbox"/> Fee Transmittal (PTO/SB/17) (2 copies) | <input type="checkbox"/> PCT Application: _____ pgs of specification
(w/claims & abstract) |
| <input type="checkbox"/> Check in the amount of \$ _____ | <input type="checkbox"/> PCT Demand _____ pages |
| <input type="checkbox"/> Assignment & Assignment Cover Sheet | <input type="checkbox"/> PCT Request _____ pages |
| <input checked="" type="checkbox"/> Amendment/Response: 4 pages | |
| <input type="checkbox"/> Other: _____ | |

PTO

JUL 15 2002

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PTO/SB/21 (08-00)

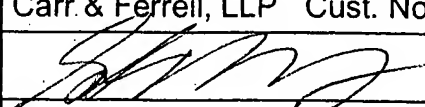
Approved for use through 10/31/2002. OMB 0651-0031


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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/041,015	
	Filing Date	December 28, 2001	
	First Named Inventor	Alan Ballard	
	Group Art Unit	2152	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	5	Attorney Docket Number	PA2064US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ Remarks Total page number does not include the postcard.	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postcard

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Carr & Ferrell, LLP Cust. No. 22830
Signature	 Reg. No. 50,250
Date	July 8, 2002

CERTIFICATE OF MAILING	
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Typed or printed name	Steven M. Colby
Signature	
Date	July 8, 2002

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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Alan Ballard, Theresa Sherwood, Laura Steward.
SERIAL NO.: 10/041,015
FILING DATE: December 28, 2001
TITLE: Customization of User Interface Presentation in an Internet
Application User Interface
EXAMINER: Unknown
ART UNIT: 2152
ATTY.DKT.NO.: PA2064US

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Date:

July 8, 2002


Steven M. Colby

Commissioner for Patents
Washington, D.C. 20231

Sir:

2nd PRELIMINARY AMENDMENT

The captioned application is U.S. Serial No. 10/041,015, filed December 28, 2001.

Before action, please amend the captioned application as follows.

IN THE CROSS-REFERENCE TO RELATED APPLICATIONS

Please replace paragraphs 1 and 2 with the following scannable version of paragraphs 1 and 2.

[0001] This application claims priority from commonly owned U.S. Provisional Patent Application No. 60/273,190 entitled "HTML – Based Applications for Support of Complex Transactions," filed March 2, 2001 and U.S. Provisional Patent Application No. 60/316,773, entitled "Meta Language Control of Complex Network Applications," filed August 31, 2001. The disclosures of these two provisional patent applications are incorporated herein by reference.

[0002] This application is related to co-pending U.S. Patent Application No. 10/040,397, entitled "Customization of Tab-order Functionality in Internet Applications," co-pending U.S. Patent Application No. 10/041,034, entitled "Customization of Client-Server Interaction in an Internet Application," co-pending U.S. Patent Application No. 035,413, entitled "Customization of Immediate Access and HotKey Functionality in an Internet Application User Interface," co-pending U.S. Patent Application No. 10/165,623, entitled "System and Method for Automatic Generation of HTML Based Interfaces Including Alternative Layout Modes," and co-pending U.S. Patent Application No. 10/040,396, entitled "System and Method for Searching Data Partially Displayed on a User Interface."

REMARKS

The present application is U.S. Patent Application Serial No. 10/041,015. This 2nd Preliminary Amendment is being filed prior to receipt of the first Office Action. No new matter has been added by these amendments. Attached as Appendix A is the marked-up version showing the amendment.

The Examiner is respectfully requested to enter this Amendment and to examine the pending claims. The Examiner is respectfully urged to consider the claimed invention at the earliest time possible and issue a favorable action indicating the application is in condition for allowance. If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone Applicant's undersigned representative at the number given below.

Respectfully submitted,

Alan Ballard et al.

Date: July 8, 2002

By: 

Steven M. Colby, Ph.D.
Registered Patent Agent
Reg. No. 50,250
Carr & Ferrell LLP
2225 East Bayshore Road, Suite 200
Palo Alto, CA 94303
Phone: (650) 812-3424
Fax: (650) 812-3444

APPENDIX A

[0001] This application claims priority from commonly owned U.S. Provisional Patent Application No. 60/273,190 entitled "HTML – Based Applications for Support of Complex Transactions," filed March 2, 2001 and U.S. Provisional Patent Application No. 60/_____ 316,773, entitled "Meta Language Control of Complex Network Applications," filed August 31, 2001. The disclosures of these two provisional patent applications are incorporated herein by reference.

[0002] This application is related to co-pending U.S. Patent Application No. 60/_____ 10/040,397, entitled "Customization of Tab-order Functionality in Internet Applications," co-pending U.S. Patent Application No. 60/_____ 10/041,034, entitled "Customization of Client-Server Interaction in an Internet Application," co-pending U.S. Patent Application No. 60/_____ 10/035,413, entitled "Customization of Immediate Access and HotKey Functionality in an Internet Application User Interface," co-pending U.S. Patent Application No. 10/165,623, entitled "System and Method for Automatic Generation of HTML Based Interfaces Including Alternative Layout Modes," and co-pending U.S. Patent Application No. 60/_____ 10/040,396, entitled "System and Method for Searching Data Partially Displayed on a User Interface."